RECEIPTS ACCOUNTING

2008 OCT -3 PH 4: 27 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Alan J. Lipton et al.

Art Unit: 2621

Application No: 10/766,949

Examiner: G. S. Philippe

Confirmation No: 2493

Atty. Docket No: 37112-192025

Filed: January 30, 2004

Customer No:

For: VIDEO-BASED PASSBACK EVENT

26694

DETECTION

PATENT TRADEMARK OFFICE

REQUEST FOR REFUND

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

ATTENTION: Mail Stop Refunds

Dear Sir:

At the time of filing the Amendment, and paying the Issue Fee in the above-referenced application on September 21, 2007 and January 9, 2008, respectively, large-entity additional claims fee of \$250.00, independent claims fee of \$2000.00 and the Issue Fee of \$1,440.00, totaling \$3,690.00, were paid. Payment of the large-entity fees was in error because Applicant and Assignee were entitled to small-entity status. Applicants, therefore, respectfully request a refund in the amount of \$1,845.00, representing the amount of the overpayment. Copies of the stamped Fee Transmittal filed on September 21, 2007 indicating payment of the \$2,250.00, and stamped Fee Transmittal filed January 9, 2008 indicating payment of the \$1,440.00, are attached. A statement for the Deposit Account No. 22-0261 indicating that \$2,250.00 was charged thereto on September 24, 2007 and \$1,440.00 was charged thereto on January 10, 2008 is also enclosed.

The Application Data Sheet that was filed concurrently with the application, and the Supplemental Application Data Sheet that was filed on August 5, 2004, also noted incorrectly Application No.: 10/766,949 Docket No.: 37112-192025

that the Applicant was not a small entity. Accordingly, a Supplemental Application Data Sheet is also being submitted herewith.

Please refund the difference in the government fees (in the amount of \$1,845.00) between a small entity and a large entity by crediting the refunded amount to our Deposit Account No. 22-0261, and notify the undersigned accordingly.

Dated: 10 2 2008

#303671

Respectfully submitted,

Michael A. Sartori, Ph.D.

Registration No.: 41,289

VENABLE LLP P.O. Box 34385

Washington, DC 20043-9998

(202) 344-4000

(202) 344-8300 (Fax)

Attorney/Agent For Applicant

| 2 | AN | 7 |
|---|----|-----|
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| 00 / | | | | | | | | |
|--|-------|-------|---|---------------------------------------|--------------|-------------|------|-----|
| | 09/21 | 218 | 11902306 | 89535-250116 | 1201 | \$200.00 | \$88 | : |
| | 09/21 | 111 | 11886690 | 64845-249739 | 1631 | \$300.00 | \$87 | |
| | 09/21 | 112 | 11886690 | 64845-249739 | 1642 | \$400.00 | \$87 | |
| | 09/21 | 113 | 11886690 | 64845-249739 | 1633 | \$200.00 | \$87 | |
| | 09/21 | | 11886690 | 64845-249739 | 1615 | \$250.00 | \$86 | |
| | 09/21 | | 09987707 . | 37112-175340 | 2201 | \$100.00 | \$86 | |
| | 09/21 | 17 | 09987707 | 37112-175340 | 2202 | \$75.00 | \$86 | |
| | 09/21 | | 78830295 | 12242-229083 | 6002 | \$100.00 | \$86 | |
| | 09/21 | 10293 | 78805278 | 50871-216851 | 7004 | \$300.00 | \$86 | |
| | 09/21 | | 11898759 | 76326-250693 | 8021 | \$40.00 | \$86 | |
| | | | 77285389 | 99997.244848 | 7001 | \$325.00 | \$85 | |
| | 09/21 | | 10963786 | 40424-206534 | 1501 | \$1,400.00 | \$84 | |
| | 09/21 | | 10983786 | 40424-206534 | 1504 | \$300.00 | \$84 | |
| | 09/21 | | 11440997 | 31794-230317 | 1501 | \$1,400.00 | \$82 | |
| | 09/21 | | 11440997 | 31794-230317 | 1504 | \$300.00 | \$82 | |
| | | | 77285471 | 74725-TBA | 7001 | \$650.00 | \$81 | J |
| | | | 11859038 | 13346-243080 | 1011 | \$300.00 | \$81 | : |
| | | | 11859038 | 13348-243080 | 1111 | \$500.00 | \$81 | |
| | | | 11859038 | 13346-243080 | 1311 | \$200.00 | \$80 | |
| | | | 11859038 | 13346-243080 | 1202 | \$150.00 | \$8(| |
| | 09/21 | - | 11332711 | 61368-227301 | 1253 | \$1,020.00 | \$75 | |
| | 09/21 | | 10686814 | 28549-184882 | 2252 | \$225.00 | \$78 | |
| | 09/21 | | 11902338 | 87878-249267 | 1011 | \$300.00 | \$78 | |
| | 09/21 | | 11902338 | 87878-249267 | 1111 | \$500.00 | \$78 | |
| | 09/21 | | 11902338 | 87878-249267 | 1311 | \$200.00 | \$78 | |
| | 09/21 | | 10394026 | 32011-188166 | 1501 | -\$1,400.00 | \$78 | |
| | 09/21 | | 10394026 | 32011-188166 | 1504 | -\$300.00 | \$80 | |
| | 09/21 | | PCT/US07/20328 | | 1601 | \$300.00 | \$79 | |
| | 09/21 | - | PCT/US07/20328 | | 1602 | \$1,000.00 | \$78 | |
| | 09/21 | | PCT/US07/20328 | • | 1701 | \$1,008.00 | \$77 | |
| | 09/21 | | PCT/US07/20328 | | 1703 | \$84.00 | \$77 | |
| | 09/21 | | PCT/US07/20328 | | 8007 | \$20.00 | \$77 | |
| | 09/24 | | PCT/US07/20339 | | 1601 | \$300.00 | \$77 | |
| | 09/24 | | PCT/US07/20339 | | 1703 | \$36.00 | \$77 | |
| | 09/24 | | PCT/US07/20339 | · · · · · · · · · · · · · · · · · · · | 8007 | \$20.00 | \$77 | |
| | 09/24 | | PCT/US07/20339 PCT/US07/20339 | | | \$1,008.00 | \$76 | |
| | 09/24 | | 77285789 | | 1602 | \$1,000.00 | \$75 | |
| | 09/24 | | 77285879 | 90429-251108 31781-251264 | 7001 | \$325.00 | \$75 | |
| | 09/24 | | 77285986 | 31915-251297 | 7001 | \$325.00 | \$72 | |
| | 09/24 | | | 43315-229739 | 7001 | \$325.00 | \$74 | |
| | 09/24 | | 11007903 | 1015-US3 | 1253 | \$1,020.00 | \$73 | |
| | 09/24 | | 11007903 | 1015-US3 | 1801 | \$790.00 | \$72 | |
| | 09/24 | | | 37112-192025- | 1253 | \$1,020.00 | \$71 | N |
| | 09/24 | | | 37112-192025 — | 1201 | \$2,000.00 | \$69 | |
| ###################################### | 09/24 | | | 61368-251269 | 1202 | \$250.00 | \$65 | DA. |
| | 09/24 | | | 61368-251269 | 1011 1111 | \$300.00 | \$68 | |
| | 09/24 | | | 61368-251269 | | \$500.00 | \$68 | |
| | 20.27 | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | 0 1000-20 1209 | 1311 | \$200.00 | \$68 | |

| 2008 | | | | | | | |
|--------|-------|------|----------------------|---------------------------|------------------|---------------------|------------------------|
| | 01/09 | 2641 | 77366756 | 74725-TBA | 7001 | \$650.00 | \$115,556 |
| | 01/09 | 4323 | 76359441 | 32287-255000 ASSIGN. | 8521 | \$40.00 | \$115,516 |
| | 01/09 | 244 | 12007234 | 69993-254386 | 1011 | \$310.00 | \$115,206 |
| | 01/09 | 246 | 12007234 | 69993-254386 | 1311 | \$210.00 | \$114,996 |
| | 01/09 | 248 | 12007234 | 69993-254386 | 1051 | \$130.00 | \$114,866 |
| | 01/09 | 247 | 12007234 | 69993-254386 | 1202 | \$50.00 | \$114,816 |
| | 01/09 | 245 | 12007234 | 69993-254386 | 1111 | \$510.00 | \$114,306 |
| | 01/09 | 104 | 11015643 | 32128-211513 | 1801 | \$810.00 | \$113,496 |
| | 01/09 | 105 | 11015643 | 32128-211513 | 1251 | \$120.00 | \$113,376 |
| | 01/09 | 34 | 60853025 | 43315-237754 | 8021 | \$40.00 | \$113,336 |
| | 01/09 | 107 | 10804023 | 109536.163US2 | 1252 | \$460.00 | \$112,876 |
| | 01/09 | 116 | 10979107 | 00393-205187 | 2252 | \$230.00 | \$112,646 |
| | 01/09 | 5. | 11666303 | 139340 | 2642 | -\$200.00 | \$112,846 |
| | 01/09 | 6 | 11666303 | 58088-244724 | 2641 | \$50.00 | \$112,796 |
| | 01/09 | | 60677342 | 43314-218253 | 8013 | \$25.00 | \$112,771 |
| | 01/09 | 169 | 77160499 | 43622-244783 | 6003 | \$200.00 | \$112,571 |
| | 01/09 | 170 | 77109285 | 43622-241635 | 6003 | \$100.00 | \$112,471 |
| | 01/09 | 174 | 3182824 | 1 | 6214 | \$100.00 | \$112,371 |
| | | | 77023797 | 090191.250992 | 7004 | \$150.00 | \$112,221 |
| | | | 77367484 | KSN VENTURES | 7001 | \$325.00 | \$111,896 |
| | 01/09 | | 11597788 | 27444-238835 | 1051 | -\$130.00 | \$112,026 |
| | 01/09 | | 11597788 | 27444-238835 | 2617 | \$65.00 | \$111,961 |
| | 01/10 | | 11988433 | 32308-250013 | 1631 | \$310.00 | \$111,651 |
| 27,000 | 01/10 | | 11988433 | 32308-250013 | 1641 | \$100.00 | \$111,551 |
| | 01/10 | | 11988433 | 32308-250013 | 1633 | \$210.00 | \$111,341 |
| | 01/10 | | 78652348 | 35527-219507 | 7003 | \$100.00 | \$111,241 |
| 10.75 | 01/10 | | 29277945 | 76115-241685 | 1502 | \$820.00 | \$110,421 |
| | 01/10 | - | 78904822 | 12928-232470 | 7004 | \$600.00 | \$109,821 |
| | 01/10 | | 11395325 | 1139532405-230033 | 1501 | \$1,440.00 | \$108,381 |
| | 01/10 | | 11395325 | 1139532405-230033 | 1504 | \$300.00 | \$108,081 |
| | 01/10 | | 10924818 | 69179-230012 | 1501 | \$1,440.00 | \$106,641 |
| | 01/10 | | 10924818 | 69179-230012 | 1504 | \$300.00 | \$106,341 |
| 10.00 | 01/10 | | 10766949 10766949 | 37112-192025 ~ | 1501 | \$1,440.00 | \$104,901 |
| | 01/10 | | | 37112-192025 | 1504 | \$300.00 | \$104,601 |
| | 01/10 | | 77368182 11579180 | 74725-TBA(XPERIA) | 7001 8021 | \$650.00 | \$103,951 |
| | 01/10 | | 78937920 | 43315-237944 41123-TBA | 6003 | \$40.00 | \$103,911 |
| | 01/10 | | | | | \$100.00 | \$103,811 |
| | 01/10 | | 11907192 78937920 | 35947-252078 41123-TBA | · 8021 - 6004 | \$40.00 \$150.00 | \$103,771 |
| | 01/10 | | 11984081 | 89535-252470 | 8021 | \$40.00 | \$103,621 \$103,581 |
| | | | | 87278-248447 | 7004 | \$150.00 | \$103,381 |
| | | | 29277981 | 76115-241680 | 1502 | | \$103,431 |
| | 01/10 | | 78643158 | 55122-129030 | 7001 | | \$102,011 |
| | 01/10 | | 78643158 | 55122-129030 | 7001 | \$650.00 | \$103,261 |
| | 01/10 | | 78979684 | | 7001 | \$650.00 | \$103,201 |
| | 01/10 | | 78643158 | 55122-219030 | 6003 | -\$200.00 | \$102,811 |
| | 01/10 | | 78979684 | | 6003 | \$200.00 | \$102,611 |
| | 01/10 | | 11950822 | 53650-245130 | 1011 | \$310.00 | \$102,301 |
| | | | | - | | | |

https://ramps.uspto.gov/eram/Controller:jsessionid=00009cb_s2-mtFi_8lvYTPldkqB:11g0... 8/20/2008



PTO/SB/17 (07-07)

Approved for use through 05/30/2010, ONB 0651-0032
U.S. Patent and Trademork Office; U.S. DEPARTMENT OF COMMERCE

| ž/ | Under the Paperwork Reduction Act | of 1895, no person ere re | quired to | respond to a collecti | on of informs | ilon unless II displays | a vald OME | control number | | | |
|----|---|--------------------------------|-------------------------------|------------------------|------------------|-------------------------|------------|--------------------------|--|--|--|
| И | Ellective on 12/ | Complete if Known | | | | | | | | | |
| 1 | Fees pursuant to the Consolidated Appr | | | 10/768,949-Conf. #2493 | | | | | | | |
| 1 | FEE TRANS | SMITTAL | | | January 30, 2004 | | | | | | |
| I | For FY 2 | 2007 | | | Alan J. Lipton | | | | | | |
| ŀ | | -007 | Examiner Name G. S. Phillippe | | | | | | | | |
| | Applicant claims small entity e | alus. See 37 CFR 1.27 | ' | Art Unit | | 2613 | | | | | |
| L | TOTAL AMOUNT OF PAYMENT | (\$) 2,250.0 | 0 | Attorney Docke | No. | 37112-192025 | | | | | |
| | METHOD OF PAYMENT (check all that apply) | | | | | | | | | | |
| ı | Check Credit Card | Money Order | No | ne Other | (please identi | (y): | | | | | |
| ı | X Deposit Account Deposit Accou | ni Nurroor. 22- | 0261 | Ouposi | l Account Nam | . Ve | nable LLF | | | | |
| ١ | For the above-identified de | posit account, the Di | irector is | s hereby suthoriz | ed to: (che | ck all that apply) | | | | | |
| 1 | X Charge (se(s) Indicat | woled be | | Chan | ge (ee(s) in | dicated below, ex | cept for t | the filing fee | | | |
| ı | Charge any additiona | il fee(s) or underpays | ments o | 1 X Credi | t any overp | eyments | | | | | |
| 1 | fee(s) under 37 CFR | 1.16 and 1.17 | | | | · | | | | | |
| ŀ | FEE CALCULATION | CVAMINATION FF | | | | · | | | | | |
| ١ | I. BASIC FILING, SEARCH, AND | EXAMINATION FEE FILING FEES | | ARCH FEES | FXAMI | NATION FEES | | | | | |
| ı | | Small Entity | | Small Entity | | Small Entity | | | | | |
| ı | Application Type Fee | | F00 (\$ | | Fee (\$) | | Fees | Paid (\$) | | | |
| ł | Utility 30 | • | 500 | 250 | 200 | 100 | | | | | |
| 1 | Design 20 | | 100 | | 130 | 65 | | | | | |
| ı | Plant 20 | | 300 | 150 | 160 | 80 | | | | | |
| ı | Reissue 30 | - | 500 | 250 | 600 | 300 | | | | | |
| ı | Provisional 20 | 0 100 | 0 | 0 | 0 | 0 | | | | | |
| ı | 2. EXCESS CLAIM FEES | | | • | | | Fee (\$) | Small Entity Fee (\$) | | | |
| | fee Description Each claim over 20 (including Rei | ssues) | | • | | | 20 | 25 | | | |
| 1 | Each independent claim over 3 (in | • | | | | | 200 | 100 | | | |
| 1 | Multiple dependent claims | | | | | | 360 | 180 | | | |
| 1 | Total Claims Extra Claims | Fee (\$) | Feet | Pald (\$) | M | luitipie Depanda | nt Claims | ı | | | |
| I | 45 .60 - 5 | <u> 50.00</u> • | 25 | 60.00 | | | Foo Paid (| - | | | |
| l | HP = highest number of total claims paid | for, if greater than 20. | | | | | | | | | |
| I | Indep, Claims Extra Claims | | | Pald (\$) | | | | | | | |
| ĺ | 16 .8 - 10 | * 200.00 * _ | | 00.00 | | | | | | | |
| ı | MP • highes) number of independent dat | ms peld for, if greater than | n 3. | | | | | | | | |
| ١ | S. APPLICATION SIZE FEE | avasad 100 abases a | · | (-veludina elec | icalle f | ilad annuman an | | | | | |
| ı | If the specification and drawings listings under 37 CFR 1.52(e) | | | | | | | | | | |
| ı | sheets or fraction thereof. Se | | | | | ,, 151 00011 0 | | | | | |
| ł | Total Sheets Extra Sh | esta Numbers | oleach: | dditional 50 or fo | estion there | of Fee (\$) | Fee | Paid (\$) | | | |
| ł | - 100 = | /50 = | | (round up to a wi | nole number) | | • | | | | |
| 1 | 4. OTHER FEE(S) | | | | | • | Feet | Paid (\$) | | | |
| I | Non-English Specification, \$ | | lity disc | ount) | | | | | | | |
| L | Other (e.g., late filing surcharg | e): | | | | | | | | | |
| Ĺ | SUBMITTED BY | A | | | | | | | | | |
| ŀ | Signature /// X | W 3 | Regis | stration No. 41,2 | 89/53,825 | Talephone | (202) 34 | 14-4004 | | | |
| Ì | Name (Print/Type) Michael A. 6art | orl, Ph.D./Marina \ | | | | Date Se | ptember 2 | 21, 2007 | | | |
| • | | | | | | | | | | | |

| ORE | O JUN H |
|--------|--------------|
| PATEN. | 7 - TRACE OF |

| Effective on 1209/2004. | | | | plete if Know | | |
|---|------------------|-------------------------|------------------------|--------------------------|-------------------------|----------------|
| Fees pursuent to the Consolidated Appropriations Act, 2005 (H.R. | Application Nurr | | 10/766,949-Conf. #2493 | | | |
| FEE TRANSMITTAL | Filing Date | | January 30, 2004 | | | |
| For FY 2008 | | First Named Inv | | Alan J. Lipton | | |
| F01 F1 2006 | [| Examiner Name | | G. S. Philippe | | |
| Applicant claims small entity status. See 37 CFR 1,27 | | Art Unit | | 2613 | | |
| TOTAL AMOUNT OF PAYMENT (\$) 1,740.00 | | Attorney Docket | No. | 37112-192025 | | |
| METHOD OF PAYMENT (check all that apply) | | | | | | |
| Check Credit Card Muney Order | Non | e Other (| please identi | Гу): | | |
| X Deposit Account Deposit Account Number 22-0 | 261 | Deposit / | Account Nam | ve: | nable LLI | |
| For the above-identified deposit account, the Oir | ector is | hereby authorize | d to: (che | ck all that apply) | | |
| X Charge fee(s) indicated below | | Charge | e fee(s) in | dicated below, ex | copt for 1 | the filing fee |
| Charge any additional fee(s) or underpaym | nents of | x Credit | any overp | ayments | | |
| FEE CALCULATION | | | | | | |
| 1. BASIC FILING, SEARCH, AND EXAMINATION FEE | S | | | | | |
| FILING FEES | SEA | RCH FEES | EXAMI | NATION FEES | | |
| Application Type Fee (\$) Fee (\$) | Fee (\$) | Small Entity Fee (3) | Fee (\$) | Small Entity Foe (\$) | Fees | Pald (S) |
| Utility 310 155 | 510 | 255 | 210 | 105 | | |
| Design 210 105 | 100 | 50 | 130 | 65 | | |
| Plant 210 105 | 310 | 155 | 160 | 80 | | |
| Reissue 310 155 | 510 | 255 | 620 | 310 | | |
| Provisional 210 105 | 0 | 0. | 0 | 0 | | |
| 2. EXCESS CLAIM FEES | | | | - | | Small Entity |
| Fee Description | | | | | Fee (\$) | Fee (\$) |
| Each claim over 20 (including Reissues) | | • | | | 50 | 25 |
| Each independent claim over 3 (including Reissues) Multiple dependent claims | | | | | 210 370 | 105 185 |
| | r 0 | -14 (8) | | willed - Descende | | |
| Total Cialms Extra Claims Fee (8) | F00 P | ald (\$) | _ | uttote Depende | ni Claims Fee Paid (| |
| HP = highest number of total claims paid for, if greater than 20. | | | | | <u> </u> | 2 4 |
| Indep. Claims Extra Claims Fee (8) | Fee P | ald (\$) | | | | |
| HP + highest number of independent claims paid for, if greater than | 3. | | | | | |
| 3. APPLICATION SIZE FEE | | | | | | • |
| If the specification and drawings exceed 100 sheets of listings under 37 CFR 1.52(e)), the application size sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(| fee du | is \$260 (\$130 t | | | | |
| | | Monal 50 or fra | tion there | of Fee (5) | Fee | Pald (\$) |
| | | (round up to a who | olo mumber) | | • | |
| 4. OTHER FEE(S) Non-English Specification. \$130 fee (no small entitle) | nı din | | | | <u>F901</u> | Patd (\$) |
| | • | ication Fee | | | 24 | 740.00 |
| | 3 1-00 | - COUCH FOO | | | 31, | 740.00 |
| SUBMITTED BY | 7 | Registration No. | | | | |
| Signature | $\lambda \perp$ | (Altumey/Agent) | 1,269/ 53,8 | 25 Telephone | (202) 34 | |
| Name (PrintType) Michael A. Sarton, Ph.D./Marina | . Zalev | sky | | Date | January | 9, 2008 |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Alan J. LIPTON et al.

Appl. No. 10/766,949 Confirmation No. 2493

Filed: January 30, 2004

For:

VIDEO BASED PASSBACK

EVENT DETECTION

Art Unit: 2613

Examiner: Gims S. Philippe

Atty. Docket No. 37112-192025

Customer No.

26694

PATENT TRADEMARK OFFICE

AMENDMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated July 19, 2007, please amend the above-identified U.S. patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Amendments to the Abstract are reflected on page 16 of this paper.

Remarks/Arguments begin on page 17 of this paper.

10766949

11/18/2008 SDIRETA1 00000008 220261

01 FC:2202 02 FC:2201

125.00 DA 1000.00 DA

09/24/2007 MBELETE1 00000119 220261

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01 FC:1201 02 FC:1202

2009.09 DA 250.00 DA